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| **Business Name** |  | Site being audited |
| **Business Address** |  |  |
| **TASCC Number** |  |
| **Assessment Date** |  |
| **Assessor** |  |

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| **Non conformances raised:** | **Closed out date** |
| **Additional comments** |

### Traceability – Merchant

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| **TASCC Trace 1** | Supplier | Purchase contract | Contract date | Tonnage |
| Commodity | Assurance status | Terms & conditions received | Delivery/fixing number |
| Delivery/fixing date | Delivery/fixing tonnage | Haulier | Haulier Assurance |
| Collection point | Delivery date | Customer | Sales contract |
| Sales contract date | Delivery site |  |  |

GENERAL

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| **G1** | **SCHEME AND LEGISLATIVE****REQUIREMENTS** |  |
| **Clause Ref** | **Requirement** |  |
| **G1.1 UPDATED R** | The Participant must have access to current copies of all relevant schemedocuments and implement all requirements (including any changes or updates) by theeffective date. |  |
| ***Interpretation*** | *Participants should comply and be audited annually against the General section**and all relevant sections of the scheme as per their scope of certification.* |
| ***Guidance*** | *See Scheme Rules section 1.4* |  |
| **G1.2 UPDATED R** | Participants and new Applicants must inform and obtain approval from theCertification Body in writing prior to changes to the operation that may materially affectcompliance with this Scheme and/ or the scope of certification. |  |
| ***Guidance*** | *Activities/scope could include additional or changes to stores, offices, vehicles and testing facilities and processes i.e. drying, simple processing.**See Scheme Rules section 1.4 and 1.7.* |  |
| **G1.3 NEW** | The Participant must achieve standards of food/feed safety that meet contractual and legal obligations or requirements of thefood/feed supply chain in which they operate. |  |
| ***Guidance*** | *Contractual and legal obligations in relation to food/feed safety include terms and conditions and/or haulage movement advice. Please refer to section clause**number H1, H4, H5, M1.* |  |
| **G1.4 UPDATED R** | Where the participant is supplying a service and is not the owner of the food/ feed and they become aware of contaminants or any other potential threats tohuman or animal health they must notify their contracted customer in a timely manner andconfirm in writing. |  |
| ***Further Information*** | [*https://www.agindustries.org.uk/resource/ap*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*pendix-23-hazardous-*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*impurities.html*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html) |  |
| **G1.5 NEW** | Participants and Applicants must advise the Certification Body in writing of changes tobusiness ownership or management contacts. |  |
| ***Guidance*** | *See Scheme Rules section 3.10.6* |  |

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| **G1.6 NEW** | Participants and Applicants must notify the Certification Body without delay where a Competent Authority takes Formal Action orwithdraws Earned Recognition for food/feed safety issues. |  |

### Management Commitment

Aim

To ensure that the Participant provides resources to ensure compliance with legislation and TASCC Scheme requirements.

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| **G2** | **MANAGEMENT COMMITMENT** |  |
| **Clause Ref** | **Requirement** |  |
| **G2.1 UPDATED R** | There must be a Policy Statement, endorsed by Senior Management, committing the Participant to safe and legal food/feed, and the provision of all resourcesnecessary for compliance with this Scheme. |  |
| **G2.2 R** | This Policy Statement must be reviewed at least every 12 months. |  |
| ***Guidance*** | *The Policy Statement does not need reissuing annually, (unless there have been changes e.g. change in personnel) provided that the annual review can be**evidenced.* |  |
| **G2.3** | Controls must ensure compliance with this Standard during all hours the Participant operates. |  |
| **G2.4 NEW** | The Participant must establish, implement and maintain an effective documented qualitysystem in accordance with the requirements of this Standard. |  |
| **G2.5 NEW** | The documented quality system must be updated to comply with changes to legislationand other food/ feed safety related developments, as they occur. |  |
| **G2.6 UPDATED** | Management must provide adequate resources for the implementation andcontrol of the systems and processes to ensure compliance with the requirementsof the Scheme. |  |

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| **G3** | **DESIGNATED PERSON/S** |
| **Clause Ref** | **Requirement** |
| **G3.1 UPDATED R** | There must be a designated and competent person (or persons and their deputies) responsible for the implementation of therequirements of this Scheme. |
| ***Interpretation*** | *Where a Participant is a sole operator then**there is no need to appoint a deputy.* |

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| ***Guidance*** | *For multi activities this can be a number of individuals who are responsible.* |  |
| **G3.2** | The designated person/s and their deputies must be named on the organisation chart. |  |

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| **G4** | **MANAGEMENT REVIEW** |  |
| **Clause Ref** | **Requirement** |  |
| **G4.1 UPDATED R** | The management team must review at least every 12 months, evidence from internal and external sources to demonstrate the performance of the business against the requirements of the documented quality system and its continuingsuitability and effectiveness in meeting the requirements of this Scheme. |  |
| ***Interpretation*** | *Evidence should include, but is not limited to:** *Internal and external audits.*
* *Complaints/ incidents and corrective action*
* *Incident corrective action*
* *Training*
* *Internal procedures*
* *Changes to business*
* Legislation
 |
| ***Guidance*** | *Participants may consider including HACCP**review, Policy Statement and supplier performance at the same time.* |  |

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| **G5** | **ORGANISATION CHART** |  |
| **Clause Ref** | **Requirement** |  |
| **G5.1 UPDATED R** | There must be an organisational chart setting out job titles of those responsible for theParticipants' operations, quality and food/ feed safety. |  |
| ***Guidance*** | *Responsibilities may be identified in job descriptions linked to titles on the chart. Where a Participant is a sole operator then there is no need for an organisation**chart.* |  |
| **G5.2** | The organisational chart must be kept up to date with any changes within the business. |  |

### Personnel and Training

Aim

To ensure the Participants understand the requirements of the TASCC scheme.

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| **G6** | **PERSONNEL AND TRAINING** |
| **Clause Ref** | **Requirement** |

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| **G6.1 UPDATED****R** | All personnel (including temporary/ agencypersonnel) must have received training in food/feed safety relevant to their role(s). |  |
| ***Interpretation*** | *The interval between any training should reflect the complexity of the task.**Consideration should be given to:** *Agents arranging the purchase/selling food/feed on behalf of the*

*Merchant. Agents do not need to be independently certified but should form part of the Merchant’s training programme.** *Drivers employed by hauliers on a traction only basis, must have the appropriate training, experience and/or qualifications before carrying out the TASCC work they undertake. Traction only basis means tractor unit contracted to haul a Participant’s trailer.*

*Ensuring that employees where English is not their first language are effectively trained.* |  |
| **G6.2.a UPDATED** | All personnel must be competent in the tasks they may be asked to undertake relevant to food/feed safety. |  |
| ***Interpretation*** | Competence should be reviewed, recorded annually and include;* Any training needs identified
* An understanding of the purpose of the TASCC Scheme

Any in house procedures implementing thedetailed requirements of the TASCC Scheme. |
| ***Guidance*** | *Examples to demonstrate competency may include:** *i-learning*
* *AHDB Combinable Crops Analyst Training*
* *Performance KPI*
* *Quiz/tests*
* *Practical evaluation*
* *Relevant Driver CPC training*
 |  |
| **G6.2.b UPDATED R** | Where relevant to food/feed safety, personnel competence must be evaluatedafter training, and reviewed at least every 12 months, or earlier if changes to the scheme requirements, legislation, business operations, processes orresponsibilities occur. |  |

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| ***Interpretation*** | *As a minimum, a designated person involved in the day to day operations should**complete the relevant AIC i-learning modules or equivalent training package (e.g. Driver CPC**training in food/feed safety).* |  |
| **G6.3.a** | Records of training must identify the individual trained and confirm receipt and content of training provided. |  |
| ***Interpretation*** | *Training records should include but not limited to:** *Date*
* *Name of trainer/company*
 |
|  | * *Name of trainee*
* *Topics covered*
* *Certificates (where held) obtained from online/internal/external training organisations*
* *Self-assessment reports where appropriate*
* *Competency records*
 |  |
| **G6.3.b** | Personnel must be retrained to any changes in the new TASCC Scheme that are relevant totheir role/responsibilities within 3 months of its release. |  |

### Documents

Aim

To ensure Participants have processes, procedures, documents and records that meet the requirements of this Scheme.

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| **G7** | **DOCUMENTS** |  |
| **Clause Ref** | **Requirement** |  |
| **G7.1 UPDATED****R** | The Participant must establish and maintain documentation to implement the requirements of this Scheme. |  |
| ***Interpretation*** | *Procedures should be available to personnel to enable them to carry out their role**effectively.* |
| ***Further Information*** | *Forms and Template documents are available on the AIC website:* [*https://www.agindustries.org.uk/sectors/tra*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*de-assurance-schemes/tascc-trade-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*assurance-scheme-for-combinable-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*crops/forms-and-templates.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html) |  |
| **G7.2.a UPDATED R** | Changes to documents must only be made by designated and competent personnel. |  |
| **G7.2.b UPDATED R** | Changes to documents must be communicated to all relevant personnel. |  |

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| **G7.2.c UPDATED R** | Documents must be titled, dated and only the current versions must be in use. |  |
| **G7.2.d** | The Participant must ensure that data and IT systems are secure and protected from bothinternal and external unauthorised access. |  |

### Records

Aim

To ensure Participants have processes, procedures, documents and records that meet the requirements of this Scheme.

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| **G8** | **RECORDS** |  |
| **Clause Ref** | **Requirement** |  |
| **G8.1.a R****UPDATED** | All records must be legible and indelible. |  |
| ***Interpretation*** | *Records should be titled, dated and only the**current versions must be in use.* |
| ***Further Information*** | *Forms and Template documents are available on the AIC website:* [*https://www.agindustries.org.uk/sectors/tra*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*de-assurance-schemes/tascc-trade-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*assurance-scheme-for-combinable-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*crops/forms-and-templates.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html) |  |
| **G8.1.b R NEW** | All records must demonstrate the actions taken, and when/ where they were completed. |  |
| ***Interpretation*** | *When / where refers to date and time where applicable.* |
| ***Guidance*** | *This may include date, time and / or location the record was created.* |  |
| **G8.2.a R** | The name of the person making any entry or alteration must be identifiable. |  |
| ***Guidance*** | *If access to a computer system is password controlled, a “signature” is not necessary**provided traceability of record creation can be demonstrated.* |  |
| **G8.2.b NEW****R** | The nature of any change to a record must be clear, so that the original entry is still legible. |  |
| ***Interpretation*** | *The use of correction fluid is not permitted.* |
| ***Guidance*** | *This may not be possible with electronic records.* |  |
| **G8.3.a R** | All relevant records must be retained for a period not less than three years. |  |

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| ***Guidance*** | *Retention periods required by legislation or customer requirements may be significantly longer than this.**TASCC Participants may be required to provide records to assist with the product recall process.**These records will be required if enforcement authorities/customers wish to carry out an investigation.**Food safety legislation requires that traceability records may need to be kept by**the Participant for five years. See G19.* |  |
| **G8.3.b** | Records must be kept in suitable conditions to prevent deterioration and be easily retrievable. |  |
| ***Guidance*** | *Participants may consider protecting electronic records from failures of IT systems.* |  |
| **G8.4 NEW** | The Participant need not hold all records relating to the requirements of this Standard, but they must be capable of accessing such records, if required to do so. |  |
| ***Guidance*** | *Hauliers, Merchants, Storekeepers and**Testing Facilities may hold records on behalf of other Participants.* |  |

### Legislative requirements

Aim

To ensure that the Participant complies with all food/feed legislative requirements. These requirements are not applicable to Testing Facility Participants only.

## G9 LEGISLATIVE REQUIREMENTS – FOR BOTH FOOD AND FEED

**Clause Ref Requirement**

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| **G9.1.a R** | Participants must demonstrate that they have systems and procedures in place that ensure they remain up-to-date with legislation and any food/feed safetyissues relevant to the food/feed they supply. |  |
| ***Guidance*** | *See section G13 and G15.* |  |
| ***Further******Information*** | *Details of current applicable feed legislation**can be found on the AIC website:* [*https://www.agindustries.org.uk/sectors/ani*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*mal-feed/resources/feed-legislation-*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*and-guidance.html*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html) |  |
| **G9.1.b** | There must be a documented review of all relevant [food/]feed legislation at least every 12 months. |  |
| ***Guidance*** | *This may be included as part of the Management Review.* |  |

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| ***Further Information*** | *Template documents are available on the AIC website:* [*https://www.agindustries.org.uk/sectors/tra*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*de-assurance-schemes/tascc-trade-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*assurance-scheme-for-combinable-crops/forms-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html)[*and-templates.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/forms-and-templates.html) |  |

## G10 DEFRA CODE OF PRACTICE FOR THE CONTROL OF *SALMONELLA*

**Clause Ref Requirement**

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| **G10.1 R** | The Defra Code of Practice for the Control of *Salmonella* must be complied with. The Participant must demonstrate their activities comply with the code. |  |
| ***Further Information*** | *The Defra Code of Practice for the Control of Salmonella in Feed can be found here:*[*www.agindustries.org.uk/resource/defra-*](http://www.agindustries.org.uk/resource/defra-salmonella-feed-code-of-practice.html)[*salmonella-feed-code-of-practice.html*](http://www.agindustries.org.uk/resource/defra-salmonella-feed-code-of-practice.html) |  |
| **G10.2 UPDATED R** | The frequency and method of sampling, testing and reporting the presence of*Salmonella* must be determined in accordance with the Participant’s riskassessment. |  |

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| **G11** | **UNDESIRABLE SUBSTANCES IN FOOD****AND FEED** |  |
| **Clause Ref** | **Requirement** |  |
| **G11.1 R** | Participants must consider the risk of Undesirable Substances in food/feed as part oftheir HACCP Study. |  |
| ***Guidance*** | *COMMISSION REGULATION (EC) No 2023/915**of 25 April 2023 sets the maximum levels for certain contaminants in foodstuffs* |  |
| ***Further Information*** | [The Animal Feed (Composition, Marketing and](https://www.legislation.gov.uk/uksi/2015/255) [Use) (England) Regulations 2015](https://www.legislation.gov.uk/uksi/2015/255) [(legislation.gov.uk)](https://www.legislation.gov.uk/uksi/2015/255) [*https://www.foodstandards.gov.scot/busi*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*ness-and-industry/industry-specific-*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*advice/farming-and-primary-*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*production/animal-feed*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[The Animal Feed (Composition, Marketing and](https://www.legislation.gov.uk/wsi/2016/386/contents/made) [Use) (Wales) Regulations 2016](https://www.legislation.gov.uk/wsi/2016/386/contents/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/wsi/2016/386/contents/made)[The Official Controls (Animals, Feed and](https://www.legislation.gov.uk/nisr/2019/227/contents/made) [Food) Regulations (Northern Ireland)](https://www.legislation.gov.uk/nisr/2019/227/contents/made) [2019](https://www.legislation.gov.uk/nisr/2019/227/contents/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/nisr/2019/227/contents/made)[EUR-Lex -32023R0915 - EN - EUR-Lex (europa.eu)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32023R0915) |  |
| [EUR-Lex - 32002L0032 - EN - EUR-Lex (europa.eu)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32002L0032) |

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|  | *AIC Legislation and Guidance:* [*https://www.agindustries.org.uk/sectors/anima*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*l-feed/resources/feed-legislation-*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*and-guidance.html*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html) |  |

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| **G12** |  | **GENETICALLY MODIFIED FOOD/FEED** |
| **Clause Ref** |  | **Requirement** |
| **G12.1.a R** |  | Legislation requires that Genetically Modified (GM) food/feed are clearly identified throughout the supply chain. |
| ***Further Information*** |  | [*https://www.legislation.gov.uk/uksi/2022/486/*](https://www.legislation.gov.uk/uksi/2022/486/made)[*made*](https://www.legislation.gov.uk/uksi/2022/486/made) |
| **G12.1.b** |  | GM food/feed must be segregated from non- GM food/feed. |
| **G12.1.c** |  | Any mixing of GM and non-GM food/feed will cause the whole bulk to lose its non-GM status. |
| **G13** |  | **LEGISLATIVE REQUIREMENTS – FOR FOOD ONLY****Registration of establishments to the Food Hygiene****Regulations** |
| **Clause Ref** |  | **Requirement** |
| **G13.1 UPDATED R** |  | Where required by food legislation there must be evidence of authority approval and / or confirmation of application for registration to the appropriate authority. |
| ***Interpretation*** |  | *Records must be kept to show that applications have been made and/or**responses received from the local council or competent authority.**Registration applies to all sites whether physically handling food or solely managing the Participant’s activity.**Participants must ensure that authorities are informed of any changes to the location of operating centres.**Any changes to business activity, name and/or**address the competent authority must be informed.* |

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| ***Guidance*** | *Transporting/storing/merchanting materials which may be destined for human consumption in accordance with the requirements of the Food Hygiene Regulation 2004, (EC No. 852/2004), and any subsequent amendments or regulations.*[*L\_2004139EN.01000101.xml (europa.eu)*](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX%3A32004R0852) *Hauliers are only required to register the management centre where records of vehicle operations are held and do not need to register other sites where records**are not held.* |  |
| ***Further Information*** | [*Food business registration - GOV.UK*](https://www.gov.uk/guidance/food-business-registration)[*(www.gov.uk)*](https://www.gov.uk/guidance/food-business-registration)[*Starting a new food business - Your checklist |*](https://www.foodstandards.gov.scot/business-and-industry/advice-for-new-businesses)[*Food Standards Scotland | Food*](https://www.foodstandards.gov.scot/business-and-industry/advice-for-new-businesses)[*Standards*](https://www.foodstandards.gov.scot/business-and-industry/advice-for-new-businesses) [*Scotland*](https://www.foodstandards.gov.scot/business-and-industry/advice-for-new-businesses)[*Register a Food Business (srs.wales)*](https://www.srs.wales/en/Environmental-Health/Food-Hygiene-Standards/Register-a-Food-Business.aspx)[*Approvals of food establishments in Northern*](https://www.food.gov.uk/business-guidance/approvals-of-food-establishments-in-northern-ireland-introduction)[*Ireland: Introduction | Food*](https://www.food.gov.uk/business-guidance/approvals-of-food-establishments-in-northern-ireland-introduction)[*Standards Agency*](https://www.food.gov.uk/business-guidance/approvals-of-food-establishments-in-northern-ireland-introduction) |  |

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| **G14** | **ALLERGENS** |  |
| **Clause Ref** | **Requirement** |  |
| **G14.1.a R** | The Participant must comply with individual customers |  |
|  | policies/requirements/terms and conditions with respect to allergens. |  |
| ***Guidance*** | *Allergic reactions can cause a severe anaphylactic shock in some individuals which can be fatal.**Some products such as confectionary waste, biscuit meal, animal feed blends, chocolate bars, cereal bars and some bread products may contain allergens.**Products described as nuts (e.g. “Sugar Beet Nuts”) should not be confused with**nuts as they are not allergens.**EU legislation (Directive 2007/68/EC) identifies groups of materials in certain food ingredients**which can cause allergic reactions in some people.* |  |

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| ***Further Information*** | [*L\_2007310EN.01001101.xml (europa.eu)*](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX%3A32007L0068)[*Allergen guidance for food businesses | Food*](https://www.food.gov.uk/business-guidance/allergen-guidance-for-food-businesses)[*Standards Agency*](https://www.food.gov.uk/business-guidance/allergen-guidance-for-food-businesses)*Please refer to Appendix 2, section 5 of the AIC Contaminant Sensitive list:* [*https://www.agindustries.org.uk/resource/*](https://www.agindustries.org.uk/resource/appendix-2-tascc-sensitive-list.html)[*appendix-2-tascc-sensitive-list.html*](https://www.agindustries.org.uk/resource/appendix-2-tascc-sensitive-list.html) |  |
| **G14.1.b****R** | Participants must consider the risk of Allergens as part of their HACCP Study. |  |

## G15 LEGISLATIVE REQUIREMENTS – FOR FEED ONLY

### Registration of establishments to the Feed Hygiene Regulations

**Clause Ref Requirement**

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| **G15.1 UPDATE D R** | Where required by feed legislation there must be evidence of current appropriate authority approval and / or confirmation of application for registration to the appropriate authority. |  |
| ***Further Information*** | *Details of current applicable feed legislation can be found on the AIC website:* [*https://www.agindustries.org.uk/sectors/an*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*imal-feed/resources/feed-legislation-*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*and-*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*guidance.html*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html) *https://*[*www.food.gov.uk/business-*](http://www.food.gov.uk/business-guidance/starting-an-animal-feed-)[*guidance/starting-an-animal-feed-*](http://www.food.gov.uk/business-guidance/starting-an-animal-feed-) *business#registration-and-approval-of-**feed-businesses https://*[*www.foodstandards.gov.scot/bu*](http://www.foodstandards.gov.scot/business-and-industry/industry-specific-)[*siness-and-industry/industry-specific-*](http://www.foodstandards.gov.scot/business-and-industry/industry-specific-) *advice/farming-and-primary- production/reigstration-and-approval- of-feed- businesses* |  |
|  | [*https://eur-lex.europa.eu/legal-*](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A52019XC0705(01)&from=EN)[*content/EN/TXT/PDF/?uri=CELEX:52019XC070*](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A52019XC0705(01)&from=EN)[*5(01)&from=EN*](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A52019XC0705(01)&from=EN) |  |

## G16 STATUTORY DECLARATIONS

(applies to Merchant & Storage only)

**Clause Ref Requirement**

**Material:** Feed Material

**Name:** \* As defined in Catalogue of Feed Materials

**Moisture:\* \*** X%

**Net weight:** See weighbridge ticket **Batch:** Include reference to traceability info.

**Name and address of Merchant/Owner of the Goods Scheme ID:**

**G16.1 UPDATED R**

***Interpretation***

Delivery documents for feed use must comply with the Animal Feed Regulations 2015.

*\* To describe the material you should use the material description as listed in the Feed Catalogue.*

*\*\*Analysis e.g. moisture, crude protein etc. compulsory declarations as part C table.*

*All feed supplied should show confirmation of the scheme ID number for the supplier responsible for the labelling, either on the package label or on bulk delivery documents.*

*Example label:*

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| ***Further Information*** | [The Animal Feed (Composition, Marketing](https://www.legislation.gov.uk/uksi/2015/255) [and Use) (England) Regulations 2015](https://www.legislation.gov.uk/uksi/2015/255) [(legislation.gov.uk)](https://www.legislation.gov.uk/uksi/2015/255) [*https://www.foodstandards.gov.scot/*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*business-and-industry/industry-*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*specific-*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*advice/farming-and-primary-*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[*production/animal-feed*](https://www.foodstandards.gov.scot/business-and-industry/industry-specific-advice/farming-and-primary-production/animal-feed)[The Animal Feed (Composition, Marketing](https://www.legislation.gov.uk/wsi/2016/386/contents/made) [and Use) (Wales) Regulations 2016](https://www.legislation.gov.uk/wsi/2016/386/contents/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/wsi/2016/386/contents/made)[The Official Controls (Animals, Feed and](https://www.legislation.gov.uk/nisr/2019/227/contents/made) [Food) Regulations (Northern Ireland)](https://www.legislation.gov.uk/nisr/2019/227/contents/made) [2019 (legislation.gov.uk)](https://www.legislation.gov.uk/nisr/2019/227/contents/made)*Feed Catalogue* [*L\_2013029EN.01000101.xml (europa.eu)*](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX%3A32013R0068)[*https://www.agindustries.org.uk/reso*](https://www.agindustries.org.uk/resource/feedingstuffs-declarations-guidance.html)[*urce/feedingstuffs-declarations-*](https://www.agindustries.org.uk/resource/feedingstuffs-declarations-guidance.html)[*guidance.html*](https://www.agindustries.org.uk/resource/feedingstuffs-declarations-guidance.html)[*https://www.agindustries.org.uk/sectors/*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*animal-feed/resources/feed-legislation-*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*and-guidance.html*](https://www.agindustries.org.uk/sectors/animal-feed/resources/feed-legislation-and-guidance.html)[*https://www.agindustries.org.uk/resource*](https://www.agindustries.org.uk/resource/marketing-labelling-and-composition-of-feeds-legislation.html)[*/marketing-labelling-and-composition-*](https://www.agindustries.org.uk/resource/marketing-labelling-and-composition-of-feeds-legislation.html)[*of-*](https://www.agindustries.org.uk/resource/marketing-labelling-and-composition-of-feeds-legislation.html)[*feeds-legislation.html*](https://www.agindustries.org.uk/resource/marketing-labelling-and-composition-of-feeds-legislation.html) |  |
| **G17** | **REGISTRATION UNDER TSE REGULATIONS** |  |
| **Clause Ref** | **Requirement** |  |
| **G17.1 R** | Participants must comply with the Transmissible Spongiform Encephalopathies Regulations 2010 and registration with Defra is required for storage/transport of bulk animal¬ derived dicalcium phosphate and hydrolysed protein.The Participant must be able to show evidence of registration with Defra. |  |
| ***Guidance*** | *This clause specifically refers to Haulier and Storekeepers who are transporting or storing fishmeal, bulk animal¬ derived dicalcium phosphate and hydrolysed**protein.* |  |
| ***Information*** | *Further information can be found following the links:* |  |

[The Transmissible Spongiform Encephalopathies (England) Regulations 2018](https://www.legislation.gov.uk/uksi/2018/731/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/uksi/2018/731/made)

[The Transmissible Spongiform Encephalopathies (Scotland) Regulations 2010](https://www.legislation.gov.uk/ssi/2010/177/contents/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/ssi/2010/177/contents/made) [*https://www.legislation.gov.uk/wsi/2018/968/contents/made*](https://www.legislation.gov.uk/wsi/2018/968/contents/made)

[The Transmissible Spongiform Encephalopathies Regulations (Northern Ireland)](https://www.legislation.gov.uk/nisr/2010/406/contents/made) [2010](https://www.legislation.gov.uk/nisr/2010/406/contents/made) [(legislation.gov.uk)](https://www.legislation.gov.uk/nisr/2010/406/contents/made)

*AIC website:*

[*https://www.agindustries.org.uk/resource/tse-and-abp-legislation.html*](https://www.agindustries.org.uk/resource/tse-and-abp-legislation.html)

### Hazard Analysis Critical Control Point (HACCP)

Aim

To ensure that Participants complete a formal HACCP Study in accordance with current legislation. HACCP is a system which identifies, evaluates and controls hazards which are significant for food and feed safety.

**This section may not be applicable for some Testing Facility Participants (see G18.2).**

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| **G18** | **HAZARD ANALYSIS CRITICAL****CONTROL POINT (HACCP)** |  |
| **Clause Ref** | **Requirement** |  |
| **G18.1 UPDATED R** | There must be a formal HACCP study which identifies, monitors and controls hazards that may adversely affect the safety of any food/feed supplied. HACCP risk assessments must be carried out in accordance with recognised HACCPprinciples. |  |
| ***Guidance*** | *The Participant may use Prerequisite Programmes (PRP)/Operational Prerequisite Programmes (OPRP) to provide controls over a their activities, which may include**documented operating procedures or work instructions.* |  |
| **G18.2.a UPDATED R** | There must be a defined scope for the HACCP study. Where activities are provided as services to third parties thesemust be included in the HACCP scope. |  |
| ***Interpretation*** | *The HACCP scope should include all processes which could affect the safety of the**food/feed being supplied including storage and transport of Combinable Crops for food)* |

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| **G18.2.b R** | There must be an effective multi-disciplinary HACCP team, with members of theteam having received HACCP training. |  |
| ***Guidance*** | *Formal training is not necessary, as long as the HACCP team is demonstrably effective. Where a Participant is a sole**operator then a multi-disciplinary team is not a requirement.* |  |
| ***Further Information*** | *HACCP training is available from AIC:*[*https://www.agindustries.org.uk/sectors/tra*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/haccp.html)[*de-assurance-schemes/haccp.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/haccp.html) |  |
| **G18.2.c R** | The Participant must define the process flow/steps from food/feed supply tothe point the food/feed is transferred to the customer/recipient. |  |
| **G18.2.d NEW R** | The process flow must be validated by the HACCP team. |  |
| **G18.2.e NEW R** | There must be a schematic of the process equipment which is visually validated by theHACCP team. |  |
| ***Interpretation*** | *This should include, drying, conditioning, cleaning, colour sorting, pesticide application, aeration or recirculation where**appropriate* |
| ***Guidance*** | *The clause requirement is not applicable to Hauliers, Merchants and Testing**Facilities and /or if there is not any process equipment.* |  |
| **G18.2.f R** | The HACCP Team must carry out a hazard analysis identifying, as a minimum,chemical, physical, biological and allergen risks as appropriate. |  |
| ***Guidance*** | *This may include hazards arising from any non-feed activities on site. For Participants providing transport and storage to third parties, food allergens may**need to be considered.* |  |
| **G18.2.g R** | The Participant must identify and implement control measures at appropriateprocess steps for each identified hazard. |  |
| **G18.2.h R** | The HACCP Team must establish critical control points where appropriate. |  |
| **G18.2.i UPDATED R** | For all critical control points, there must be defined critical limits which are measurableor observable and can be quantified in a timely manner. |  |
| **G18.2.j R** | The Participant must establish a monitoringsystem for all critical control points. |  |
| **G18.2.k R** | The Participant must establish correctiveaction when critical limits have been breached. |  |

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| **G18.2.l R** | The Participant must establish documentation to detail the controls andmonitoring of all hazards identified in the HACCP study. |  |
| **G18.3.a R** | If Prerequisite Programmes (PRP) are used, documentation must be established todetail the controls and monitoring of the programmes. |  |
| **G18.3.b NEW R** | If Operational Prerequisite Programmes (OPRP) are used, documentation must beestablished to detail the controls and monitoring of the programmes. |  |
| **G18.4 R** | The HACCP Team must carry out a review of the HACCP study at least every 12 months or sooner if there are any changes to processes or procedures, orincidents that could affect food/feed safety. |  |
| ***Further Information*** | *For additional information see the AIC website:*[*AIC | HACCP (agindustries.org.uk)*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/haccp.html) |  |
| **G18.5 UPDATED R** | The HACCP review must also include any Prerequisite Programmes (PRP) and/ orOperational Prerequisite Programmes (OPRP) where they are used. |  |
| ***Guidance*** | *The HACCP Review can be part of the internal audit.* |  |

### Traceability

Aim

This section outlines requirements for retaining traceability records.

**This section is applicable to Hauliers, Merchants and Storekeepers and may not be applicable to Testing Facilities.**

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| **G19** | **TRACEABILITY** |
| **Clause Ref** | **Requirement** |
| **G19.1 NEW R** | The Participant must have effective traceability for all activities within the scope of certification. |
| ***Interpretation*** | *The system of documentation and records must ensure that food/feed is traceable**and can be made available when requested.* |

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| ***Guidance*** | *The purpose of a traceability system is to facilitate recall or investigations into food/feed safety issues arising from a food or feed incident. The level of**traceability required will be determined by the food and feed risk assessments. It is a legal requirement that relevant documents are readily available in the event of a food/feed safety issue.**Legislation requires ‘one up/one down’ traceability as a minimum, i.e. details of**where the food/feed came from, and where it was sent to.* |  |
| **G19.2 NEW****R** | Service supplier contract records must include details relevant to food/feed safety and traceability. |  |
| **G19.3.a NEW****R** | Participant records must include details relevant to food/feed safety andtraceability. |  |
| ***Interpretation also applicable to******G19.3.b, c, d & e*** | *Records should include but not limited to:** *Food/feed description*
* *Combinable Crops Passport (crops only)*
* *Consignment note (feed only)*
* *Assured status*
* *Quantity*
* *Supplier:haulier/grower/merchant/store keeper*
* *Date of intake/despatch*
* *Collection/delivery order or fixing/unique reference*
* *Internal movement of goods, intake, temporary holding, drying and cleaning*
* *Ship/vessel (if applicable)*
* *Country of origin (if non-UK)*
* *Mycotoxin information/levels as per AHDB guidance (if applicable)*
* *GM status (if applicable)*
* *Organic status (if applicable)*
* *Compliance with the Renewable Energy Directive (RED) (if applicable)*
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| **G19.3.b NEW****R*****Interpretation*** | Records of internal movements and processing must include details relevant to food/feed safety and traceability.*When the owner of the food/feed gives instructions to store from one specifically identifiable parcel with another parcel, this should be confirmed in writing.* |  |
| ***Guidance*** | *This covers all movements including temporary storage/holding**pads/drying/cleaning/conditioning and all such movements within the store.* |  |
| **G19.3.c NEW****R** | Collection/ Delivery records must include details relevant to food/feed safety andtraceability. |  |
| ***Guidance*** | *Haulier records to include the following but not limited to:**Haulier, trailer ID, three previous loads, assurance status, consignment note, weighbridge ticket collection/delivery address, cleaning record. See Haulage**Section H13, Demountable Containers see sections M10, S12* |  |
| **G19.3.d NEW****R** | Sales records must include details relevant to food/feed safety and traceability. |  |
| **G19.3.e NEW****R*****Interpretation*** | Transport records must include details relevant to food/ feed safety and traceability.*This includes transport by any method including road, rail, water and/or air. Hauliers should be able to provide the vehicle registration and trailer number**details for traceability purposes.* |  |
| **G19.4 NEW** | Food/feed with a special status must be physically segregated from food/feed of different status. If physical segregation is lost, the special status must not be assigned to the resulting mixture. |  |
| ***Interpretation*** | *Special status relates to food/ feed safety, legislation and contractual**requirements including: assured/ non- assured, GM/ Non-GM,**Organic/Conventional (Non-organic) and must be recorded.* |
| ***Guidance*** | *Mass balance may be used instead of**physical segregation for demonstrating compliance with RED.* |  |
| **G19.5 UPDATED****R** | A traceability exercise must be carried out at least every 12 months |  |
| ***Guidance*** | *This may be done as part of a recall/ withdrawal test. Traceability tests should**consider all activities within the participants processes.* |  |

### Complaints

Aim

To ensure that all food/feed safety complaints are managed effectively and consistently.

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| **G20** | **COMPLAINTS** |  |
| **Clause Ref** | **Requirement** |  |
| **G20.1 R** | The Participant must register, record andaddress customer complaints relating to food and feed safety in a timely manner. |  |
| **G20.2 R** | Complaints must be reviewed with attention to severity and any trends, andcorrective action taken as necessary to prevent recurrence. |  |
| **G20.3 R** | Food/ Feed which has been delivered to the customer / recipient and is under complaint must be risk assessed by a designated and competent person todetermine use or disposal for non-food/ feed use. |  |
| ***Interpretation*** | *The Participant may determine who the designated and competent person is depending upon the type of complaint/rejection and or severity of issue. When food/feed is rejected for quality the rejected load maybe risk assessed and delivered to an alternative customer/recipient or delivered to a conditioning**facility to improve the quality. The supplier should be informed in writing. See Appendix 23.* |
| ***Guidance*** | *This doesn’t include quality claims where the food/feed is accepted by the**recipient on an allowance as the Merchant’s internal procedure.* |  |
| ***Further Information*** | *Appendix 23 Hazardous Impurities:* [*https://www.agindustries.org.uk/resource/a*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*ppendix-23-hazardous-*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*impurities.html*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html) |  |
| **G20.4 NEW R** | Where a customer complaint results in the Participant retrieving food/ feed from acustomer/ recipient, the food/ feed must be treated as non-conforming product. |  |
| ***Guidance*** | *Non-conforming product may include food/feed that is not acceptable to the**customer/recipient, which may be identified after the food/feed is received.* |  |
| **G20.5 NEW R** | Food/feed which has been rejected by a customer / recipient must be risk assessed by a designated and competentperson to determine use or disposal for non-food/ feed use. |  |

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| **G20.6 NEW****R** | The destination of any retrieved/ rejected/re-directed food/feed must be recorded. |  |

### Internal Auditing

Aim

To ensure that the Participant is checking that the controls implemented are working effectively to protect food/feed safety. The internal audit is in addition to the TASCC audit completed by the Certification Body.

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| **G21** | **INTERNAL AUDITING** |  |
| **Clause Ref** | **Requirement** |  |
| **G21.1 NEW R** | Participants must have a current programme of internal auditing to ensurethe documented quality system is effective, implemented and up to date. |  |
| ***Interpretation*** | *This should include activities, operations and 3rd Party Subcontractors under the participant's scope of certification including those listed on the Participant’s schedule of registration.**And may include but is not limited to: The requirements of this Scheme;**The Participant’s documentation and records;**Food/ Feed legislation;* |
| **G21.2 UPDATED R** | The internal audit(s) must be documented and effective, ensuring that all relevant activities are audited at least once every 12months. |  |
| ***Interpretation*** | *An internal audit should be carried out by a competent person, either from within**the Participants organisation or an external**auditor.* |
| ***Guidance*** | *The internal audit can be programmed over a period of time covering all relevant* |  |
| *activities* |
| ***Further Information*** | *Internal audit/checklists:* [*https://www.agindustries.org.uk/sectors/*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/checklists.html)[*trade-assurance-schemes/tascc-trade-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/checklists.html)[*assurance-scheme-for-combinable-*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/checklists.html)[*crops/checklists.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/tascc-trade-assurance-scheme-for-combinable-crops/checklists.html) |  |
| **G21.3 R** | Findings from internal audits must be documented, and the follow up must be effective and prevent recurrence. Anycorrective actions must be completed in a timely manner. |  |
| ***Guidance*** | *Appropriate timescales for corrective actions should reflect the requirements of**the TASCC scheme, food/feed safety and any specific business constraints.* |  |

The TASCC Scheme is intended for the merchanting of UK produced (assured and non-assured), imported and exported, Combinable Crops, Feed Materials and Compound Feeds.

### Contract confirmations

Aim

This section covers the requirements to ensure that merchanting operations are carried out with regard to food/feed safety and satisfying customer requirements.

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| **M1** | **CONTRACT CONFIRMATIONS** |  |
| **Clause Ref** | **Requirement** |  |
| **M1.1 NEW R** | All food/feed placed on the market under the scope of TASCC certification must comply with food/ feed legislation in the country where it is placed on the market and any customers' policies/requirements/terms and conditions and/orcontractual agreements in relation to food/feed safety. |  |
| **M1.2.a R** | The Participant must send or receive a contract confirmation to suppliers orcustomers confirming details of the sale/purchase. |  |
| ***Guidance*** | *NB: Brokers’ confirmations are acceptable.**Examples of contracts may include AIC, GAFTA and FOSFA.* |  |
| **M1.2.b R** | Confirmations must include the Assurance, Organic, GM status, RED and otherfood/feed safety requirements where applicable. |  |
| **M1.2.c NEW R** | Purchase and sale contract records must include details relevant tofood/feed safety and traceability. |  |

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| ***Guidance*** | *Applicable to Merchants on completion of contracts.**Records may include but not limited to:** *Food/feed description*
* *Assured status*
* *Quantity*
* *Supplier: haulier/grower/merchant/storeke eper*
* *Date of collection/delivery*
* *Collection/delivery order or fixing/unique reference*
* *Ship/vessel (if applicable)*
* *Country of origin (if non-UK*
* *Mycotoxin information/levels as per AHDB guidance (if applicable)*
* *GM status (if applicable)*
* *Organic status (if applicable)*
* *Compliance with the Renewable Energy Directive (RED) (if applicable)*
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| **M1.2.d UPDATED R** | Where there is a difference in the contract confirmation received andsent, this must be resolved when identified. |  |

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| **M2** | **DELIVERY/COLLECTION****REQUIREMENTS AND ALLOCATIONS** |  |
| **Clause Ref** | **Requirement** |  |
| **M2.1.a R** | There must be a system which records detailed information regardingdelivery/collection requirements to all parties. |  |
| ***Guidance*** | *Delivery/collection requirements are commonly referred to as fixings. Records of trading, fixings, allocations, transport and delivery are required for traceability purposes under food and feed legislation.**See G19.* |  |
| **M2.1.b R** | This information must be effectively communicated to all relevant personnel andthird parties (Merchants, Hauliers, Storekeepers etc). |  |
| **M2.2.a R** | Food/feed must be allocated correctly tomeet all customer/recipient requirements. |  |
| ***Interpretation*** | *Records of allocations are required for traceability purposes under Food and**Feed Legislation.* |
| **M2.2.b** | Where the assurance chain is broken thiswill cause the assurance status of the goods to become non-assured. |  |
| ***Interpretation*** | *Examples of breaking the assurance chain may include but not limited to; the use**of a non-assured store, non-assured vehicle, non-assured Merchant/sub- contractor or where the contract is**traded on testing results, a non-assured Testing Facility. See M4.2.* |
| **M2.2.c NEW** | Assurance Stickers must not be attached to the Combinable Crops Passportswhere the assurance chain is broken. |  |
| **M2.2.d R** | Participants must be able to demonstrate that the assurance status of food/feedis maintained during the allocation/delivery process. |  |
| **M2.3 R** | When a customer of the Participant collects food/feed using non-assured vehicles, the Participant must obtain written confirmation of approval from thecustomer and inform the Storekeeper/supplier not to attach an |  |

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|  | assurance sticker to the Combinable Crops Passport. |  |
| ***Further Information*** | *A list of mutually recognised schemes can be found on the AIC website:*[*https://www.agindustries.org.uk/resource/*](https://www.agindustries.org.uk/resource/feed-food-schemes.html)[*feed-food-schemes.html*](https://www.agindustries.org.uk/resource/feed-food-schemes.html) |  |
| **M2.4** | Participants contracting Grower/Contractors to deliver their own food/feed, either assured or non-assured, on their own vehicles must confirm in writing therequirement to comply with the AIC TASCC Haulage Standard or employ a subcontracted TASCC certified Haulier. |  |
| ***Interpretation*** | *This consent is a requirement of all contracts and terms and conditions. Growers/Contractors should comply with the Red Tractor and SQC farm assurance scheme haulage sections.**Further subcontracting of these deliveries**can only take place with the written consent of the Participant.* |
| ***Further Information*** | *A list of mutually recognised schemes can be found on the AIC website:* [*https://www.agindustries.org.*](https://www.agindustries.org.uk/resource/feed-food-schemes.html)[*uk/resource/feed-food-*](https://www.agindustries.org.uk/resource/feed-food-schemes.html)[*schemes.html*](https://www.agindustries.org.uk/resource/feed-food-schemes.html) |  |

### Purchasing, selection and approval of suppliers and sub-contractors

Aim

This section outlines the requirements for selecting and approving suppliers.

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| **M3** | **PURCHASING, SELECTION AND APPROVAL OF SUPPLIERS AND****SUB-CONTRACTORS** |  |
| **Clause Ref** | **Requirement** |  |
| **M3.1 R** | There must be a designated andcompetent person(s) responsible for the selection and approval of food/feed. |  |
| **M3.2.a UPDATED R** | There must be a system in place to verify the current assurance status of thesuppliers when entering and executing a contract or agreement. |  |
| **M3.2.b UPDATED** | If a supplier who is certified to a scheme recognised by AIC has their certification suspended or withdrawn during the execution of a contract or agreement, the Participant must:* Cease use/ merchanting of the food/feed
* Establish the reason for suspension or withdrawal with the supplier
* Take immediate steps to ensure that food/feed safety has not been compromised
* Inform the Certification Body of the suspension / withdrawal and the outcome of the investigation
* Not restart using/ merchanting of the food/feed until permission is received from the Certification

Body or certification is reinstated |  |
| **M3.3 UPDATED R** | The Participant must have an effective system to approve suppliers of food/feed to ensure food/feed safety is not compromised. |  |

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| **M3.4 R** | The approval system must ensure that suppliers of food/feed are current certified participants of a scheme as detailed in the “Feed/Food Supplier schemesrecognised by AIC”. |  |
| ***Interpretation*** | *This includes assured combinable crops whether purchased from the grower or via**an assured merchant, and assured feed materials and compound feed.* |
| ***Guidance*** | *Participants are encouraged to contact Red Tractor, SQC or schemes in ROI if they are having difficulties establishing the assurance status of producers and their**satellite sites.* |  |
| ***Further Information*** | *AIC Assurance Checker*[www.aictradeassurance.org.uk](http://www.aictradeassurance.org.uk/)*Feed/Food Supplier schemes recognised by AIC*[*https://www.agindustries.org.uk/resource/*](https://www.agindustries.org.uk/resource/feed-food-schemes.html) |  |
| [*feed-food-schemes.html*](https://www.agindustries.org.uk/resource/feed-food-schemes.html) *Red Tractor**Assurance Checker* [*https://checkers.redtractor.org.uk/rtassura*](https://checkers.redtractor.org.uk/rtassurance/services.eb) |
| [*nce/services.eb*](https://checkers.redtractor.org.uk/rtassurance/services.eb) *SQC* [*https://www.sqcrops.co.uk/member-*](https://www.sqcrops.co.uk/member-checker/)[*checker/*](https://www.sqcrops.co.uk/member-checker/) *IGAS* [*https://irishgrainassurance.ie/*](https://irishgrainassurance.ie/) |
| **M3.5 NEW** | The approval system must ensure thatthe food/ feed supplied is covered by the scope of the suppliers' certification. |  |

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| **M3.6.a NEW** | Food/feed supplied must only be collected from approved sites listed on therelevant assurance checker. |  |
| **M3.6.b NEW** | Participants must demonstrate that theirassured supplier information matches the relevant farm assurance checker. |  |
| **M3.6.c NEW** | Producer assurance status information must contain the trading name and/orfarm name, the assurance number, site/collection address and postcode. |  |
| **M3.7 NEW R** | The Participant must review the effectiveness of the supplier approvalsystem and the performance of suppliers at intervals not exceeding 12 months. |  |
| ***Guidance*** | *The approval system can include, for example, assurance status and performance monitoring of suppliers i.e. compliance to the TASCC scheme for food/feed safety,**provision of information when requested and scheme suspension record.* |  |
| **M3.8 NEW** | Sufficient information must be available for each food/ feed to ensure food/feed safety is not compromised and to ensurestatutory labelling, customer and contractual requirements are met. |  |
| ***Guidance*** | *See G16.1* |  |

**M4 NON-ASSURED FOOD/FEED**

**Clause Ref Requirement**

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| **M4.1*****Interpretation*** | The supplier approval system must also cover suppliers of non-assured food/feed*Where non-assured crops are merchanted, the Participant may purchase from suppliers who are non-**assured.* |  |
| **M4.2.a** | All non-assured food/feed merchanted by TASCC Participants must be clearlyidentified as non-assured in all records and documents. |  |
| **M4.2.b** | Non-assured food/feed must be stored/transported with assuredParticipants. |  |

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| **M4.2.c** | Assurance stickers must not be used tothe accompanying Combinable Crops Passport. |  |
| **M4.3** | Non-assured food/feed must be physically separated from assured food/feed and full traceability from seller through store and/or transport to the recipient mustbe demonstrated. |  |
| **M4.4 NEW** | The approval system must ensure that non-assured suppliers of food/feed (other than primary producers) of the non-assured feeds listed in clause M4.2.a provideconfirmation of application for registration to the appropriateauthority under the relevant Food/Feed Hygiene Regulations. |  |
| **M4.5 UPDATED** | Participants may only merchant the following feeds from non-assured sources and suppliers:* Complementary Feeds, which are packaged and marketed in individual

containers of less than 5kg / 5ltr* Non-assured combinable crops
* Non-assured farm produced bulky feeds such as hay, straw, stockfeed vegetables
* Non-assured non-digestible mineral grit
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| **M5** | **SERVICE SUPPLIERS** |  |
| **Clause Ref** | **Requirement** |  |
| **M5.1 R** | There must be a system ensuring service suppliers are certified to ascheme detailed on the Service Supplier Schemes Recognised by AIC. |  |
| ***Guidance*** | *The AIC Assurance Checker and Saved Scheme Members enables Participants to create individual supplier databases. The**frequency of review can be determined by a risk assessment.* |  |
| ***Information*** | *Service Supplier Schemes Recognised by AIC:* [*https://www.agindustries.org.u*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html)[*k/resource/service-supplier-*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html)[*schemes.html*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html) *Overseas recognised schemes:*[*https://www.agindustries.org.uk/sectors/*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/overseas.html)[*trade-assurance-schemes/overseas.html*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes/overseas.html) |  |

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| **M6** | **SUB-CONTRACTED HAULAGE** |  |
| **Clause Ref** | **Requirement** |  |
| **M6.1 R** | Where a bulk Haulier is contracted by the Participant for the carriage of bulk food/feed within the scope of this standard, the Participant must ensure that thebulk Haulier is TASCC certified, or a roadtransport scheme recognised by AIC. |  |
| ***Guidance*** | *Hauliers of packaged or container transported food/feed do not need to be assured but can be included in the approved supplier/subcontractor list. For food/feed transported in containers**see M10.* |  |
| ***Information*** | *AIC list of recognised Service Supplier Schemes can be found on the following link:*[*https://www.agindustries.org.uk/resou*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html)[*rce/service-supplier-schemes.html*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html) |  |

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| **M7** | **STORAGE SUBCONTRACTORS INCLUDING STORES OPERATED****UNDER A PARTICIPANTS TASCC CERTIFICATION** |

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| **Clause Ref** | **Requirement** |  |
| **M7.1****NEW R** | There must be a signed and datedwritten agreement between the Storekeeper and Participant. |  |
| ***Guidance*** | *The written agreement may take the form of the AIC Contract No. 9.**Also clause S1.1* |  |
| **M7.2.a** | The store must either be TASCC (orequivalent) certified or listed on the Participant’s scope of certification. |  |
| ***Guidance*** | *This applies to stores operated under a Participant’s scope of certification* |  |
| ***Further Information*** | [*https://www.agindustries.org.uk/resour*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html)[*ce/service-supplier-schemes.html*](https://www.agindustries.org.uk/resource/service-supplier-schemes.html) |  |
| **M7.2.b R** | Participants must notify the TASCC Certification Body (CB) in writing of any additional stores.Before using a non-certified store or temporary holding, including additional store(s) in a certified facility, for assured and non-assured food/feed, details of the Certification Body's requirements must be received before the store isapproved. |  |
| **M7.3.a UPDATED** | Food/feed stored before the Certification Body approves the store must not be despatched as assured food/feed.Food/feed despatched as non-assured must |  |

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|  | still meet food/feed safety legislation. Once the store is approved, food/feed thatwere originally assured can be despatched as such. |  |
| **M7.3.b** | Assured food/feed can only be dispatched from TASCC certified stores orequivalent. |  |
| ***Guidance*** | *Once certified the store details will appear on the AIC Assurance Checker.* |  |
| ***Further Information*** | [*AIC | Trade Assurance Schemes*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes.html)[*(agindustries.org.uk)*](https://www.agindustries.org.uk/sectors/trade-assurance-schemes.html) |  |
| **M7.4.a R** | Prior to using any non-certified store, the Participant must carry out an internalaudit of the store. |  |
| **M7.4.b UPDATED** | For temporary holding of food/feed in stores the Participant must ensure that the temporary holding has been approved and included in the scope of certificationby the Certification Body. |  |
| ***Guidance*** | *Customer requirements may prohibit the**use of Temporary Holding. See section M11 below.* |  |
| **M7.4.c UPDATED** | For a store operated under a Participant’s scope which is not used during the scheme year, the store must be audited by the Certification Body before food/feed is despatched as assured. Food/feed despatched as non-assured muststill meet food/feed safety legislation. |  |

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| **M8** | **TESTING****FACILITY/LABORATORIES SUBCONTRACTORS** |  |
| **Clause Ref** | **Requirement** |  |
| **M8.1 R** | When external testing facilities/laboratories are employed to undertake contractual/food/feed safety analysis, the testing facility/laboratory must be certified. The laboratory must only carry out tests that are included within theirscope of their certification. |  |
| ***Guidance*** | *Recognised Laboratory Testing Facility TASCC/GAFTA UKAS (ISO/EC 17025),* |  |

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|  | *GAFTA, FOSFA.* |  |

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| **M9** | **SUPERINTENDENTS, SUBCONTRACTORS, IMPORTS/EXPORTS****INCLUDING ELEVATION AND PORT SUBCONTRACTORS** |  |
| **Clause Ref** | **Requirement** |  |
| **M9.1 R** | **Approval**Where a Participant employs a superintendent, they must be approved under theParticipant’s contractual requirements . |  |
| **M9.2.a R** | **Administration**Where the Participant employs a superintendent for the purpose of intake/out loading administration, instructions must be issued by the Participant and bedocumented. |  |
| ***Guidance*** | *Administration may include trailer/handling equipment inspection,**Storage Facility, Combinable Crops Passport, 3 previous loads.* |  |
| **M9.2.b R** | Instructions to a superintendent must include the appropriate TASCC requirements. |  |
| ***Interpretation*** | *These requirements may include but not limited to:** *Food/feed description including assurance status*
* *Delivery reference and quantity*
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|  | * *Combinable Crop Passport Checks*
* *Sampling, analysis, retention and weighing*
* *Haulier details – vehicle number, trailer number, previous loads and cleaning*
* *Acceptance criteria*
 |  |
| **M9.3 R** | **Supplier testing**Where a Participant employs a superintendent subcontractor for the purpose of supplier contractual testing and a dispute arises the superintendent must submit the sample to a TASCC approved Testing Facility or equivalent. See S11.2.a and S11.2.b of the StorageStandard. |  |
| ***Interpretation*** | *Analysis of samples shall be determined in accordance with equipment calibrated**for the reference methods specified in the AIC Standard for Testing Facilities for Combinable Crops. As per the AIC 1 and 2 contracts.* |

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| **M10** | **DEMOUNTABLE CONTAINERS****This does not include IBCs (Intermediate****bulk containers).** |  |
| **Clause Ref** | **Requirement** |  |
| **M10.1.a R** | **Owned Demountable Containers**If the Participants operates their own demountable containers they must maintain an up to date inventory of demountable containers used for the carriageof food/feed. |  |
| ***Interpretation*** | *Participants who operate both TASCC and**non TASCC demountable containers should clearly identify those**demountable containers not to be used for food/feed.* |
| **M10.1.b R** | Merchants must enter Demountable Containers on the AIC Vehicle Inventory. |  |
| **M10.2.a R** | **Hired or leased Demountable Containers**Operated containers including hired orleased must have records detailing the ID |  |

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| ***Interpretation*** | Number, date of use and/or hire period. |  |
| *Participants who operate both TASCC and non TASCC demountable containers should clearly identify those demountable containers not to be used**for food/feed.* |
| **M10.2.b****UPDATED R** | The Participant must produce, or obtain,a documented procedure identifying the controls required. |  |
| ***Guidance*** | *Examples of controls may include but not limited to:** *food compatible container:*
* *use of liners*
* *3 previous loads*
* *sealing*
* *container inspection*
* *container identification*
* *cleaning*
* *bulkhead construction*
* *records required*
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| **M10.2.c** | Before loading/stuffing demountable containers, an inspection by the Participant, or competent person, to verify that the container is clean or adequately linedmust be completed. |  |

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| **M10.2.d R** | Proof of appropriate cleaning and inspection must be maintained. |  |
| **M10.2.e UPDATED R** | When loading/stuffing demountable containers the Participant must ensure that customer requirements are obtained detailing the controls required which areforwarded to the loading/receiving facility |  |
| **M10.3 R** | Where demountable containers are used that have no evidence of the three previous loads complying with the International Database for the Transport of Feed (IDTF) or no liners installed before loading/stuffing, then the bulk food/feedmust be contracted as non-assured. |  |

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| ***Guidance*** | *If the customer authorises the use of the container without evidence of acceptable 3 previous loads or liner**then the food/feed will remain non- assured.* |  |

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| **M11** | **TEMPORARY HOLDING** |  |
| **Clause Ref** | **Requirement** |  |
| **M11.1.a R** | The Participant must agree in writing the actions to be taken with the owner of the goods/customer prior to using the temporary holding area. |  |
| ***Interpretation*** | *As detailed in S9 of the TASCC Storage Standard, where food/feed cannot be moved into store on their arrival, the food/feed may be held in a temporary holding area. Temporary holding is regarded as ‘exceptional’ and food/feed can**only be held in this way for a maximum**of 5 days before being moved into the permanent store.* |
| ***Guidance*** | *Owner of the goods may include the**grower, merchant, eventual customer/processor etc.* |  |
| **M11.1.b** | The Participant must ensure that the Storekeeper has the temporary holdingarea included as part of their TASCC scope of certification. |  |

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| **M12** | **COMBINABLE CROPS PASSPORT** |
| **Clause Ref** | **Requirement** |
| **M12.1.a R** | All loads of Combinable Crops of UK or Republic of Ireland origin must be accompanied on receipt by a correctly completed Combinable Crops Passport (UK) or Delivery Docket (ROI). |
| ***Interpretation*** | *Generic terms (e.g. biomass, fertiliser, ash, stone or dust) should not be used as a**previous load and descriptions should be as detailed as is necessary to accurately**identify the goods.* |

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| ***Guidance*** | *The Combinable Crops Passport may indicate vehicle ID (as per H3) of the Haulage Standard), pesticides and other treatments used, completion of vehicle inspection, farm assurance status and GM status (in the case of oilseed rape). Some assurance schemes issue stickers to members to identify assured Combinable Crops, others issue different coloured Combinable Crops Passport for each year of harvest. SQC and Red Tractor issue passports with pre-printed assurance validation in lieu of stickers.**Incomplete or incorrectly completed Combinable Crops Passports may lead to**rejections and delays at delivery destinations.* |  |

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| ***Further Information*** | *https://*[*www.agindustries.org.uk/resourc*](http://www.agindustries.org.uk/resource/combinable-crops-passport--version-)[*e/combinable-crops-passport--version-*](http://www.agindustries.org.uk/resource/combinable-crops-passport--version-)*01-22-issued-2022.html* |  |
| **M12.1.b UPDATED R** | Participants must confirm with their customers and Storekeepers whether a Combinable Crops Passport is required for imported Combinable Crops. When required the word “IMPORTED” must be written across the top right hand stickersection of the Combinable Crops Passport. |  |
| **M12.1.c NEW****R** | Participants must supply confirmation to the Storekeeper of their requirements relating to the postharvest pesticide treatment section (4) which must be checked against the current Defra approvedpesticides, fumigants list and customer requirements. |  |
| ***Further Information*** | *Appendix 22:* [*https://www.agindustries.org.uk/resou*](https://www.agindustries.org.uk/resource/appendix-22-approved-pesticide-and-fumigant-lists.html)[*rce/appendix-22-approved-pesticide-*](https://www.agindustries.org.uk/resource/appendix-22-approved-pesticide-and-fumigant-lists.html)[*and-*](https://www.agindustries.org.uk/resource/appendix-22-approved-pesticide-and-fumigant-lists.html)[*fumigant-lists.html*](https://www.agindustries.org.uk/resource/appendix-22-approved-pesticide-and-fumigant-lists.html) |  |
| **M12.1.d R** | Participants must supply confirmation to the Storekeeper of their requirementsrelating to the Mycotoxin section (5) of the Combinable Crops Passport. |  |
| ***Guidance*** | *Mycotoxin instructions may relate to intake and outloading. Participants are to be aware of individual customer requirements but it is recommended that when outloading crops from a commingled stock (central stores) that this is stated the lowest and highest risk assessment scores and any analysis recorded on the**Combinable Crops Passport.* |  |
| **M12.1.e UPDATED** | Only UK or Republic of Ireland origin Combinable Crops produced and traceable under food/feed supplier scheme recognised by AIC or from crops produced from UK or Republic of Ireland assured farms remain assured when they are stored in a TASCC certified store and transported by a TASCC Haulier companyor equivalent and a TASCC Assurance sticker can be applied to the |  |

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|  | passport/docket by the Storekeeper. |  |
| ***Guidance*** | *See section S20.7.a* |  |
| **M12.1.f** | Assurance stickers must not be used for non-assured Combinable Crops deliveredfrom TASCC stores. |  |
| ***Guidance*** | *For FEMAS, stickers are not available but written confirmation of the valid certificate and scope is sufficient to be**included with the Combinable Crops Passport.* |  |
| **M12.1.g UPDATED** | Assurance stickers must not be used for assured Combinable Crops delivered from a TASCC Participant’s store that has not been certified by the Certification Body and listed in the scope ofcertification. |  |
| **M12.1.h** | Assurance stickers must not be usedfor assured Combinable Crops outloaded onto a non-assured vehicle. |  |
| **M12.1.i** | Assurance stickers must not be used for Combinable Crops imported from outside the United Kingdom, even wherethese are produced under an AIC TASCC recognised scheme. |  |

### Sampling, analysis and inspection

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This section outlines the results to which the Participant must have access to show compliance with maximum levels of contaminants laid down in current food/feed legislation.

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| **M13** | **SAMPLING, ANALYSIS AND****INSPECTION** |  |
| **Clause Ref** | **Requirement** |  |
| **M13.1 UPDATED R** | There must be a risk based sampling and/or analysis schedule/plan taking into account food/feed safety legislation, customer and contractual requirements. |  |
| ***Interpretation*** | *This may include but is not limited to:** *Harvest*
* *On farm internal and third party storage*
* *Intake samples; in process samples; outloading samples; environmental samples/ swabs*

*This could be included in the HACCP Study. For analysis requirement see Section M14.**Sampling methods for food and feed safety analysis should be representative. AHDB Cereals & Oilseed 2013 "Grain sampling from field to buyer";**AIC 1 & 2 contracts require sampling to comply with BS EN ISO 24333 and FOSFA 9A & 26A BS EN ISO 21294**respectively.* |
| ***Guidance*** | *Clause also in Storage Standard S11. The Merchant may wish to use information from external sources such as AHDB Cereals and Oilseeds, AIC, FSA or Customers as part of their development and**maintenance of their sampling and analysis plan.* |  |

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| **M14** | **TESTING****FACILITIES/LABORATORIES** |  |
| **Clause Ref** | **Requirement** |  |
| **M14.1.a UPDATED R** | The Participant must ensure all external laboratories carrying outanalyses identified in the schedule/ plan are competent. (See M14.1.c) |  |
| **M14.1.b** | All methods of analysis employed (whether in-house or at an externallaboratory) must be appropriate for the food/feed being tested. |  |

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| **M14.1.c UPDATED R*****Interpretation*** | The competency of testing laboratories for food/feed safety, legal compliance and contractual analyses must be regularly reviewed and their approval checkedat intervals not exceeding 12 months. *For food/feed safety and legal compliance analyses, laboratories should be**approved by one or more of the following methods:** *accredited by a recognised body according to ISO / IEC 17025; or*
* *validated by participation in ring tests; or*
* *validated by other means*

*For contractual analyses, laboratories should be approved by one or more of the following methods:** *accredited by a recognised body according to ISO / IEC 17025; or*
* *certified to the AIC Standard for Testing Facilities of Combinable Crops; or*
* *validated by participation in ring tests; or as otherwise defined in the contract*

*Formal validation is not required for methods of analysis used solely for process checks, unless such checks are identified as necessary for managing food/ feed**safety, legal or contractual requirements.* |  |

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| **M15** | **HAZARDOUS IMPURITIES** |  |
| **Clause Ref** | **Requirement** |  |
| **M15.1 UPDATED R** | Participants must have a procedure in place to ensure that when food/feed contain hazardous impurities, details of these are communicated to merchantingpersonnel and effective corrective action taken. |  |
| ***Guidance*** | *A physical inspection may be completed by company staff, sub-contract testing**facility, storage facility or customer.* |  |
| ***Further Information*** | *Further information how to manage contaminated goods can be found in Appendix 23, Hazardous Impurities:* [*https://www.agindustries.org.*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*uk/resource/appendix-23-*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*hazardous-*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*impurities.html*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html) |  |



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| **M16** | **MONITORING FOOD/FEED IN****STORE** |  |
| **Clause Ref** | **Requirement** |  |
| **M16.1.a R** | The Participant must confirm in writingwith the Storekeeper as to levels of monitoring and records required. |  |
| **M16.1.b R** | Where the Participant has carried out additional monitoring, this must also be recorded and reported to theStorekeeper and effective corrective action agreed. |  |
| **M16.1.c R** | Any monitoring records received by the Participant must be reviewed andeffective corrective action agreed with the Storekeeper. |  |

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| **M17** | **REVIEW OF TEST RESULTS** |  |
| **Clause Ref** | **Requirement** |  |
| **M17.1 R** | Where test results fall outside legal limits, internal control limits or customer specified requirements within the food/feed specification, the Participant mustbe able to demonstrate effective corrective action taken. |  |
| ***Guidance*** | *Evidence that results are acted upon could form part of a due diligence* |  |

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|  | *defence in case of a food/feed safety issue.* |  |

### Non-conforming product affecting food/feed safety

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This section is for non-conforming product which affects food/feed safety and is not a requirement for quality analysis claims.

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| **M18** | **NON-CONFORMING PRODUCT****AFFECTING FOOD/FEED SAFETY** |  |
| **Clause Ref** | **Requirement** |  |
| **M18.1 NEW R** | Food and/or feed with an identified food/feed safety issue must be subject to adocumented risk assessment by a designated and competent person(s). |  |
| ***Guidance*** | *This risk assessment may be carried out on a case-by-case basis or included in Participant's procedures. The Participant may wish to complete a DPR form (Delivery**Point Rejection) and forward this to the Certification Body.* |  |
| ***Further Information*** | *Further information how to manage contaminated goods can be found in Appendix 23, Hazardous Impurities:*[*https://www.agindustries.org.uk/resource/a*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*ppendix-23-hazardous-impurities.html*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html) |  |
| **M18.2 UPDATED R** | All incidences of non-conforming food or feed must be recorded and decisions regarding actions to be taken must only bemade by a designated and competent person. |  |
| ***Interpretation*** | *In certain cases, food/feed may be safely processed to remove physical impurities. The Participant must be able to demonstrate that destinations accepting food/feed**have been advised of the physical impurities that have been removed.* |  |
| ***Further Information*** | *Further information how to manage contaminated goods can be found in Appendix 23, Hazardous Impurities:*[*https://www.agindustries.org.uk/resource/a*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html)[*ppendix-23-hazardous-impurities.html*](https://www.agindustries.org.uk/resource/appendix-23-hazardous-impurities.html) |  |
| **M18.3 UPDATED R** | Authorisation for destination, alternative use or disposal of any non-conforming food and or feed with an identified food/feedsafety issue must be confirmed by a designated and competent person(s) and |  |

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| ***Interpretation*** | traceability be maintained. |  |
| *Contaminated food/feed should not be directed to food/feed destinations, unless customer/recipients’ terms and conditions,**or contract, allow.* |
| ***Guidance*** | *It is a criminal offence to knowingly sell**food or feed containing substances injurious to health.* |  |

# Merchant

### Food/feed safety incidents

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This section outlines arrangements that must be in place by the owner of the goods in case of a food/feed safety issue which could be harmful to human and animal health.

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| **M19** | **FOOD/FEED SAFETY INCIDENTS** |  |
| **Clause Ref** | **Requirement** |  |
| **M19.1 UPDATED R** | There must be a food/feed safety incident management procedure (including withdrawal and recall) which iscapable of being put into operation at any time. |  |
| ***Guidance*** | *See section M20.* |  |
| **M19.2 UPDATED R** | The food/feed safety incident management procedure must include up to date contact details for the Competent Authorities, Certification Body and out of hourscontact details for relevant personnel. |  |
| **M19.3.a UPDATED** | There must be a designated and competent person (or persons) with deputies, responsible for the management of food/ feed safety incidents, includingwithdrawal and/or recall. |  |

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| ***Guidance*** | *Where a Participant is a sole operator then there is no need to appoint a deputy. Extract from General Food Law Regulation (EC)178/2002:-**Article 14*1. *Food shall not be placed on the market if it is unsafe.*
2. *Food shall be deemed to be unsafe if it is considered to be:*
	1. *injurious to health*
	2. *unfit for human consumption*

*Article 15** + 1. *Feed shall not be placed on the market or fed to any food-producing animal if it is unsafe.*
		2. *Feed shall be deemed to be unsafe for its intended use if it is considered to:*
			1. *have an adverse effect on human or animal health;*
			2. *make the food derived from food- producing animals unsafe for human*

*consumption.* |  |
| **M19.3.b R** | The food/feed safety incident management procedure must include immediate notification to the Competent Authorities and /or affected customer(s) where required by legislation or contractual agreements to ensure food/ feed safety issecured. |  |
| ***Interpretation*** | *There is a legal obligation on food/ feed business operators to inform the Competent Authorities where they "consider or have reason to believe that a food/ feed... is not in compliance with the food /feed safety requirements" (adapted from EU**Regulation 178/2002)* |
| **M19.3.c R** | Where an incident requires the Participant to inform the Competent Authorities and/ or customer(s), the Certification Body must be notified within 3 workingdays. |  |
| **M19.4 R** | The Participant must notify the Certification Body within 3 working days where a food/feed safety investigationby a Competent Authority results in |  |

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|  | Formal Actionor withdrawal of Earned Recognition. |  |

# Merchants

### Product recall and withdrawal

Aim

This section outlines the type of records to be retained to allow a product recall or withdrawal, tracing of goods as required by current food and feed hygiene regulations.

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| **M20** | **PRODUCT RECALL AND****WITHDRAWAL** |  |
| **Clause Ref** | **Requirement** |  |
| **M20.1.a UPDATED R** | If a recall or withdrawal becomes necessary, the Participant must implement timely and appropriatemeasures to protect human and animal health. |  |
| **M20.1.b NEW****R** | All recalled or withdrawn food/feed(s)must be treated as non-conforming product. |  |
| ***Guidance*** | *Refer to M18.2.* |  |
| **M20.1.c UPDATED R** | Recalled or withdrawn food/feed(s) must be risk assessed by a designated andcompetent person(s), to determine use or disposal. |  |
| **M20.1.d R** | The destination of any recalled or withdrawn food/feeds must be recorded. |  |
| **M20.1.e R** | If a recall or withdrawal has been necessary, the reasons must be assessed and effective corrective/ preventativeaction taken to address the underlying cause(s). |  |
| **M20.1.f UPDATED R** | The operation and effectiveness of any recall/ withdrawal must be reviewed in a timely manner and procedures updatedwhere necessary. |  |
| ***Interpretation*** | *This review should be used as part of the**management review/ HACCP review.* |
| **M20.2** | A recall/withdrawal test must be carried out at a frequency determined by riskassessment and at least every 12 months. |  |

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| ***Guidance*** | *The recall/withdrawal test to be reviewed and be used as part of the management review/ HACCP review. The traceability exercise focusses on one up/one down. Third parties do not need**to be informed.* |  |